

PLANNED AUDIT 2014/2015

APPENDIX 1

System Name	Status	Audit Allocation	Total Days Used	Exception Issues	Audit Opinion	No. of Recs	High	No. Agreed	Medium	No. Agreed	Low	No. Agreed	Total Not Agreed
JOINT													
Procurement (24 priority score) SODC VWHDC	Carry forward 15/16	12	0.0 0.0										
Payroll (22) SODC VWHDC	Completed	32	19.5 19.5	First KFS for new auditor.	Limited Limited	8 8	2 1	2 1	4 4	4 4	2 3	2 3	0 0
Housing & Council Tax Reduction Scheme (20) SODC VWHDC	Completed	30	9.0 9.0		Full Full	1 1	0 0	0 0	0 0	0 0	1 1	1 1	0 0
Car Parks (20) SODC VWHDC	Completed	20	9.5 9.5		Full Full	0 0	0 0	0 0	0 0	0 0	0 0	0 0	0 0
Leisure Centres (20) SODC VWHDC	Carry forward 15/16	30	0.0 0.0										
National Non-Domestic Rate (19) SODC VWHDC	Completed	20	17.5 17.5	Had to redo testing due to evidence lost in the Crowmarsh fire.	Substantial Substantial	2 2	0 0	0 0	0 1	0 1	2 1	2 1	0 0
Council Tax (19) SODC VWHDC	Completed	20	11.0 11.0		Substantial Substantial	4 3	0 0	0 0	0 0	0 0	4 3	4 3	0 0
General Ledger (19) SODC VWHDC	In progress	20	9.5 9.5	Contractor performance issues. Escalated to S151 and strategic director.									
Sundry Debtors (19) SODC VWHDC	Completed	20	11.0 11.0		Substantial Full	3 2	0 0	0 0	1 0	1 0	2 2	2 2	0 0
Creditor Payments (18) SODC VWHDC	Completed	20	11.0 11.0		Substantial Substantial	1 1	0 0	0 0	1 1	1 1	0 0	0 0	0 0
Pro-Active Anti-Fraud Review (18) SODC VWHDC	Completed	20	7.0 7.0		Substantial Substantial	1 3	0 0	0 0	1 1	1 1	0 2	0 2	0 0
Travel & Subsistence (18) SODC VWHDC	Completed	20	6.5 6.5		Full Full	2 2	0 0	0 0	0 0	0 0	2 2	2 2	0 0
Anti-Fraud & Corruption Policies (18) SODC VWHDC	Completed	16	10.0 10.0	4 days over due to new auditor.	Limited Limited	6 6	1 1	1 1	3 3	3 3	2 2	2 2	0 0
Capital Management and Accounting (17) SODC VWHDC	In progress	14	8.0 8.0	Contractor performance issues. Escalated to S151 and strategic director.									

Contract Monitoring (17) SODC VWHDC	Completed	20	6.5 6.5		Full Full	3 3	0 0	0 0	0 0	0 0	3 3	2 2	1 1					
Bank Contract & Arrangements (17) SODC VWHDC	Completed	8	3.0 3.0		Full Full	1 1	0 0	0 0	0 0	0 0	1 1	1 1	0 0					
Treasury Management (16) SODC VWHDC	Completed	14	8.5 8.5		Full Full	0 1	0 0	0 0	0 0	0 0	0 1	0 1	0 0					
Land Charges (16) SODC VWHDC	Completed	20	10.0 10.0		Satisfactory Satisfactory	6 6	0 0	0 0	1 1	1 1	5 5	5 5	0 0					
Corporate Governance (16) SODC VWHDC	Completed	20	7.5 7.5		Full Full	0 0	0 0	0 0	0 0	0 0	0 0	0 0	0 0					
Receipt of Income Arrangements (15) SODC VWHDC	Postponed. Carry forward 15/16	18	5.0 4.5	Postponed due to sick leave.														
Partnership Performance Monitoring (15) SODC VWHDC	Carry forward 15/16	20	0.0 0.0															
Waste Management & Recycling (15) SODC VWHDC	Carry forward 15/16	20	0.5 0.5															
Project Management (15) SODC VWHDC	Carry forward 15/16	26	0.0 0.0															
Petty Cash (14) SODC VWHDC	Completed	16	8.5 8.5		Satisfactory Satisfactory	5 9	0 0	0 0	1 3	1 3	4 6	4 6	0 0					
Temporary Accommodation (14) SODC VWHDC	Completed	24	14.0 14.0	4 days over due to level of sample testing required.	Limited Limited	9 7	0 1	0 1	3 2	3 2	6 4	6 4	0 0					
Development Management (10) SODC VWHDC	Carry forward 15/16	20	0.0 0.0															
IA PLANNED AUDIT TOTALS	-	520	385.5		Full Substantial Satisfactory Limited Nil	15 9 4 6 0					107	6	6	31	31	70	68	2
SODC PLANNED AUDIT TOTALS	-	260	193		Full Substantial Satisfactory Limited Nil	7 5 2 3 0					52	3	3	15	15	34	33	1
VWHDC PLANNED AUDIT TOTALS	-	260	192.5		Full Substantial Satisfactory Limited Nil	8 4 2 3 0					55	3	3	16	16	36	35	1